

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appn. of: Borgmeier et al.

Appln. No.: 10/657,604

Filed: 9/8/03

For: Receiving Part of a Fluid Plug Connection

Attorney Docket No: 11138-009

Examiner: Unknown

Art Unit: Unknown

Commissioner for Patents
U.S. Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- X Information Disclosure Statement
- X Form PTO-1449
- X Nine Reference Copies
- X Return Receipt Postcard

Fee calculation and payment:

- ☐ No additional fee is required.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | or | Other Than Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|-------------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | | Rate | Add'l Fee |
| Total | | Minus | | | x \$9= | | | x \$18= | |
| Indep. | | Minus | | | x 43= | | | x \$86= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$145= | | | +\$290= | |
| | | | | | Total | \$ | | Total | \$ |

Fee calculation and payment:

- ☒ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,


Steven L. Oberholtzer, Esq. (Reg. No. 30,670)
Attorney/Agent for Applicant

January 9, 2004

Date

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: January 9, 2004

Name: M. Collins

Signature: 

BRINKS
HOFER
GILSON
& LIONE

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Borgmeier et al.

Serial No.: 10/657,604

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For: Receiving Part of a
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Group Art Unit: Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

| No. | Date of Publication | Country |
|---------------|---------------------|---------|
| EP 0 727 027 | 12/29/99 | Europe |
| EP 0 926 415 | 6/30/99 | Europe |
| EP 1 054 203 | 11/22/00 | Europe |
| DE 35 20 161 | 12/11/86 | Germany |
| DE 195 11 063 | 11/9/95 | Germany |
| DE 195 20 099 | 1/2/97 | Germany |
| DE 197 42 917 | 5/6/99 | Germany |
| DD 240 059 | 10/15/86 | Germany |
| DE 195 26 316 | 1/23/97 | Germany |

A copy of each listed reference for which a copy is required under 37 C.F.R. §1.97(a)(2) is also enclosed. Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this

Statement is not intended to constitute a representation that a search has been made, or as an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

January 9, 2004

Date:

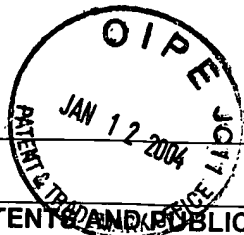


Steven L. Oberholtzer (Reg. No. 30,670)

☒ Attorney/Agent Of Record

☐ 37 C.F.R. 1.34(a)

Enclosures: Form PTO-1449 (one sheet)
Copies of listed references



| | | |
|---|-----------------------|--------------------------------|
| FORM PTO-1449 | SERIAL NO. 10/657,604 | CASE NO. 11138-009 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE 9/8/03 | GROUP ART UNIT Unknown |
| (use several sheets if necessary) | | APPLICANT(S): Borgmeier et al. |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|------------------|-----------------|------|------|-----------------|-------------|
| | A1 | | | | |
| | A2 | | | | |
| | A3 | | | | |
| | A4 | | | | |
| | A5 | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES NO |
|------------------|-----------------|---------------|----------|-----------------|--------------------|
| | A6 | EP 0 727 027 | 12/29/99 | Europe | X |
| | A7 | EP 0 926 415 | 6/30/99 | Europe | |
| | A8 | EP 1 054 203 | 11/22/00 | Europe | X |
| | A9 | DE 35 20 161 | 12/11/86 | Germany | X |
| | A10 | DE 195 11 063 | 11/9/95 | Germany | X |
| | A11 | DE 105 20 099 | 1/2/97 | Germany | X |
| | A12 | DE 197 42 917 | 5/6/99 | Germany | X |
| | A13 | DD 240 059 | 10/15/86 | Germany | X |
| | A14 | DE 105 26 316 | 1/23/97 | Germany | X |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |
|------------------|--|
| | A11 |
| | A12 |
| | A13 |
| | A14 |
| | A15 |
| | A16 |

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|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.